



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
REGION VII

Gateway Tower II, Room 200
400 State Avenue
Kansas City, KS 66101-2406
HUD Home Page: www.hud.gov

Heping Zhan
Assistant Director of Planning & Special Projects
City of Lee's Summit
220 SE Green Street
Lee's Summit, Missouri 64063

RECEIVED
JUL 18 2019
Development Services

Dear Mr. Zhan:

I am pleased to transmit to you approval of your fiscal year 2019 Annual Action Plan. The grant assistance that is being approved at this time is as follows:

Community Development Block Grant program (CDBG) \$373,739

Approval of the Annual Action Plan is based upon the grantee meeting the applicable submission requirements of 24 CFR Part 91. It does not constitute an approval of the individual activities listed in the Plan. It is incumbent upon the grantee to ensure that the eligibility of an activity is properly determined and documented prior to obligating grant funds.

Enclosed are two copies of the grant agreements for the program(s) covered under your Action Plan. Please take note of any special conditions attached to the grant agreement(s). Please ensure that all copies of the agreement are signed and dated by the appropriate authorized official, and provide the indirect cost information described below (as applicable). Please return one signed and dated agreement to our office and retain the second copy for your files.

If Funding Assistance will be used for payment of indirect costs pursuant to 2 CFR 200, Subpart E - Cost Principles, grantees must attach a schedule in the format set forth in each executed Grant Agreement that is returned to HUD. The schedule shall identify each department/agency that will carry out activities with the Funding Assistance, the indirect cost rate applicable to each department/agency (including if the de minimis rate is charged per 2 CFR §200.414), and the direct cost base to which the rate will be applied. Do not include indirect cost rates for subrecipients. Please refer to the specific section in each of the attached grant agreements and provide the necessary information prior to returning the executed agreements to HUD.

Please also note that funds may not be obligated or expended for activities in projects that have not been previously environmentally cleared. Funds may be obligated or expended only after you have submitted and HUD has approved in writing your environmental certification and request for release of funds in compliance with the environmental regulations at 24 CFR Part 58, or you have determined and documented that

the activities are exempt or excluded from any environmental review under Part 58.

A primary goal of the Department is to reduce housing discrimination, affirmatively further fair housing through Community Planning and Development (CPD) programs and promote diverse, inclusive communities. To that end, we encourage your community to take all measures necessary to ensure compliance with the Fair Housing requirements associated with these funds

A copy of your Consolidated Plan/Annual Action Plan was provided to the Office of Fair Housing and Equal Opportunity for review. Should any issues arise from that review you will be contacted at a later date.

We look forward to working with you during the year to accomplish the goals you have set forth and to strengthen your ability to deliver programs that will have an impact across the community. If you have any questions regarding this letter or if we can be of further assistance, please contact Donna Hill (913) 551-6805 or by email at donna.m.hill@hud.gov.

Sincerely,



Dana Buckner
Director, Community Planning
and Development

Enclosures

Funding Approval/Agreement

Title I of the Housing and Community
Development Act (Public Law 930383)
HI-00515R of 20515R

U.S. Department of Housing and Urban Development

Office of Community Planning and Development
Community Development Block Grant Program

OMB Approval No. 2506-0193
exp 5/31/2018

| | | |
|--------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|------------------------------------------------|
| 1. Name of Grantee (as shown in item 5 of Standard Form 424) Lee's Summit | 3a. Grantee's 9-digit Tax ID Number 446000208 | 3b. Grantee's 9-digit DUNS Number 030715478 |
| 2. Grantee's Complete Address (as shown in item 5 of Standard Form 424) 220 SE Green Street Lee's Summit, MO 64063 | 4. Date use of funds may begin (mm/dd/yyyy) 07/01/2018 | |
| | 5a. Project/Grant No. 1 B-19-MC-29-0010 | 6a. Amount Approved \$373,739.00 |
| | 5b. Project/Grant No. 2 | 6b. Amount Approved |

Grant Agreement: This Grant Agreement between the Department of Housing and Urban Development (HUD) and the above named Grantee is made pursuant to the authority of Title I of the Housing and Community Development Act of 1974, as amended, (42 USC 5301 et seq.). The Grantee's submissions for Title I assistance, the HUD regulations at 24 CFR Part 570 (as now in effect and as may be amended from time to time), and this Funding Approval, including any special conditions, constitute part of the Agreement. Subject to the provisions of this Grant Agreement, HUD will make the funding assistance specified here available to the Grantee upon execution of the Agreement by the parties. The funding assistance specified in the Funding Approval may be used to pay costs incurred after the date specified in item 4 above provided the activities to which such costs are related are carried out in compliance with all applicable requirements. Pre-agreement costs may not be paid with funding assistance specified here unless they are authorized in HUD regulations or approved by waiver and listed in the special conditions to the Funding Approval. The Grantee agrees to assume all of the responsibilities for environmental review, decision making, and actions, as specified and required in regulations issued by the Secretary pursuant to Section 104(g) of Title I and published in 24 CFR Part 58. The Grantee further acknowledges its responsibility for adherence to the Agreement by sub-recipient entities to which it makes funding assistance hereunder available.

| | | | |
|----------------------------------------------------------------------------|----------------------------------|-----------------------------------------------------------------|-------------------|
| U.S. Department of Housing and Urban Development (By Name) Dana Buckner | | Grantee Name (Contractual Organization) City Of Lee's Summit | |
| Title Director, Office of Community Planning and Development | | Title Stephen A. Arbo, City Manager | |
| Signature | Date (mm/dd/yyyy) JUL 12 2019 | Signature | Date (mm/dd/yyyy) |

| | | | | |
|-------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| 7. Category of Title I Assistance for this Funding Action: Entitlement, Sec 106(b) | 8. Special Conditions (check one) <input type="checkbox"/> None <input checked="" type="checkbox"/> Attached | 9a. Date HUD Received Submission (mm/dd/yyyy) 6/20/2019 | 10. check one <input checked="" type="checkbox"/> a. Orig. Funding Approval <input type="checkbox"/> b. Amendment Amendment Number | |
| | | 9b. Date Grantee Notified (mm/dd/yyyy) JUL 12 2019 | | |
| 11. Amount of Community Development Block Grant | | 9c. Date of Start of Program Year 07/01/2019 | | |
| | | FY (2019) | FY (2018) | FY (2017) |
| a. Funds Reserved for this Grantee | | \$373,646.00 | \$ 93.00 | \$.00 |
| b. Funds now being Approved | | | | |
| c. Reservation to be Cancelled (11a minus 11b) | | | | |

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|
| 12a. Amount of Loan Guarantee Commitment now being Approved N/A | 12b. Name and complete Address of Public Agency |
| Loan Guarantee Acceptance Provisions for Designated Agencies: The public agency hereby accepts the Grant Agreement executed by the Department of Housing and Urban Development on the above date with respect to the above grant number(s) as Grantee designated to receive loan guarantee assistance, and agrees to comply with the terms and conditions of the Agreement, applicable regulations, and other requirements of HUD now or hereafter in effect, pertaining to the assistance provided it. | 12c. Name of Authorized Official for Designated Public Agency |
| | Title |
| | Signature |

HUD Accounting use Only

| Batch | TAC | Program Y | A | Reg | Area | Document No. | Project Number | Category | Amount | Effective Date (mm/dd/yyyy) | F |
|-------|-----|-----------|---|-----|------|--------------|----------------|----------|--------|-----------------------------|---|
| | 153 | | | | | | | | | | |
| | 176 | | | | | | | | | | |
| | | | Y | | | | Project Number | | Amount | | |
| | | | | | | | Project Number | | Amount | | |
| | | | Y | | | | Project Number | | Amount | | |
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|-------------------------------|---------------------------------|--------------|------------------|------------|-------------|
| Date Entered PAS (mm/dd/yyyy) | Date Entered LOCCS (mm/dd/yyyy) | Batch Number | Transaction Code | Entered By | Verified By |
|-------------------------------|---------------------------------|--------------|------------------|------------|-------------|

8. Special Conditions.

- (a) The period of performance for the funding assistance specified in the Funding Approval (“Funding Assistance”) shall begin on the date specified in item 4 and shall end on September 1, 2026. The Grantee shall not incur any obligations to be paid with such assistance after September 1, 2026.
- (b) The Recipient shall attach a schedule of its indirect cost rate(s) in the format set forth below to the executed Agreement that is returned to HUD. The Recipient shall provide HUD with a revised schedule when any change is made to the rate(s) described in the schedule. The schedule and any revisions HUD receives from the Recipient shall be incorporated herein and made a part of this Agreement, provided that the rate(s) described comply with 2 CFR part 200, subpart E.

| <u>Administering Department/Agency</u> | <u>Indirect cost rate</u> | <u>Direct Cost Base</u> |
|--------------------------------------------|---------------------------|-----------------------------|
| _____ | _____ % | _____ |
| _____ | _____ % | _____ |
| _____ | _____ % | _____ |
| _____ | _____ % | _____ |
| _____ | _____ % | _____ |

Instructions: The Recipient must identify each agency or department of the Recipient that will carry out activities under the grant, the indirect cost rate applicable to each department/agency (including if the de minimis rate is used per 2 CFR §200.414(f)), and the type of direct cost base to which the rate will be applied (for example, Modified Total Direct Costs (MTDC)). Do not include indirect cost rates for subrecipients.

- (c) In addition to the conditions contained on form HUD 7082, the grantee shall comply with requirements established by the Office of Management and Budget (OMB) concerning the Dun and Bradstreet Data Universal Numbering System (DUNS); the System for Award Management (SAM.gov.); the Federal Funding Accountability and Transparency Act as provided in 2 CFR part 25, Universal Identifier and General Contractor Registration; and 2 CFR part 170, Reporting Subaward and Executive Compensation Information.
- (d) The grantee shall ensure that no CDBG funds are used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use. For the purposes of this requirement, public use shall not be construed to include economic development that primarily benefits private

entities. Any use of funds for mass transit, railroad, airport, seaport or highway projects as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health and safety or brownfield as defined in the Small Business Liability Relief and Brownfields Revitalization Act (Public Law 107-118) shall be considered a public use for purposes of eminent domain.

- (e) The Grantee or unit of general local government that directly or indirectly receives CDBG funds may not sell, trade, or otherwise transfer all or any such portion of such funds to another such entity in exchange for any other funds, credits or non-Federal considerations, but must use such funds for activities eligible under title I of the Act.
- (f) E.O. 12372-Special Contract Condition - Notwithstanding any other provision of this agreement, no funds provided under this agreement may be obligated or expended for the planning or construction of water or sewer facilities until receipt of written notification from HUD of the release of funds on completion of the review procedures required under Executive Order (E.O.) 12372, Intergovernmental Review of Federal Programs, and HUD's implementing regulations at 24 CFR Part 52. The recipient shall also complete the review procedures required under E.O. 12372 and 24 CFR Part 52 and receive written notification from HUD of the release of funds before obligating or expending any funds provided under this agreement for any new or revised activity for the planning or construction of water or sewer facilities not previously reviewed under E.O. 12372 and implementing regulations.
- (g) CDBG funds may not be provided to a for-profit entity pursuant to section 105(a)(17) of the Act unless such activity or project has been evaluated and selected in accordance with Appendix A to 24 CFR 570 - "Guidelines and Objectives for Evaluating Project Costs and Financial Requirements." (Source - P.L. 113-235, Consolidated and Further Continuing Appropriations Act, 2015, Division K, Title II, Community Development Fund).